



ATTORNEY'S DOCKET  
062891.0445

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: KNAPPE, et al.  
Serial No.: 09/751,799  
Filing Date: December 29, 2000  
Title: METHOD AND SYSTEM FOR PARTICIPANT  
CONTROL OF PRIVACY DURING MULTIPARTY  
COMMUNICATION SESSIONS

**BOX MISSING PARTS**

Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is in response to the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted mailed February 15, 2001. Applicants submit herewith:

- (a) A copy of the Notice to File Missing Parts of Nonprovisional Application -  
Filing Date Granted.
  
- (b) An executed Declaration and Power of Attorney for the above-referenced  
patent application, which was filed December 28, 2000.

ATTORNEY DOCKET NO.  
062891.0445

PATENT APPLICATION  
09/751,799

2

(c) A completed Assignment with an Assignment cover sheet for the above-referenced patent application. The recordal fee in the amount of \$40.00 is included herewith for the Assignment.

(d) A check in the amount of \$130.00 is enclosed for the surcharge for a non-small entity.

(e) The Commissioner is hereby authorized to charge any additional amount required or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

Terry J. Stalford  
Reg. No. 39,522

Date: 2/26/01

Correspondence Address:

BAKER BOTTS L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
Tel. 214.953.6477  
Fax 214.953.4447



U.S. PATENT AND TRADEMARK OFFICE

FEB 26 2001

In re Application of: KNAPPE, et al.

Serial No.

09/751,799

Date Filed:

December 29, 2000

Title:

METHOD AND SYSTEM FOR PARTICIPANT  
CONTROL OF PRIVACY DURING MULTIPARTY  
COMMUNICATION SESSIONS.

**BOX MISSING PARTS**

Honorable Assistant Commissioner

**RECEIVED**

For Patents

MAR 20 2001

Washington, D.C. 20231

Technology Center 2600

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Response to Notice to File Missing Parts of Nonprovisional Application, and firm checks in the amount of \$130.00 to cover the surcharge for a non-small entity, and \$40.00 assignment recordation fee, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 26th day of February, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Willie Jiles  
Willie Jiles

Express Mail Receipt  
No. EL 759175071 US  
Attorney's Docket: 062891.0445

DAL01:587177.1

**BEST AVAILABLE COPY**

WJ

030101

Page 1 of 1

O I P E  
FEB 28 2001  
MAIL DATE CANCELLED



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,799	12/29/2000	Michael E Knappe	062891.0445

CONFIRMATION NO. 5321

FORMALITIES LETTER



Terry J. Stalford  
Baker Botts LLP  
2001 Ross Avenue  
Dallas, TX 75201

Date Mailed: 02/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/05/2001 MYUSUF1 00000059 09751799

01 FC:105

130.00 OP

**BEST AVAILABLE COPY**



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 5321

SERIAL NUMBER 09/751,799	FILING DATE 12/29/2000 RULE	CLASS 345	GROUP ART UNIT 2173	ATTORNEY DOCKET NO. 062891.0445
-----------------------------	-----------------------------------	--------------	------------------------	---------------------------------------

## APPLICANTS

Michael E Knappe, Sunnyvale, CA;  
 Shmuel Shaffer, Palo Alto, CA;

## \*\* CONTINUING DATA \*\*\*\*\*

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

## IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 03/08/2001

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 5	TOTAL CLAIMS 39	INDEPENDENT CLAIMS 7
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature	Initials			

## ADDRESS

Baker Botts L.L.P.  
 2001 Ross Avenue  
 Dallas , TX 75201-2980

## TITLE

Method and system for participant control of privacy during multiparty communication sessions

FILING FEE RECEIVED 1502	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
-----------------------------	---	---